

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

FIKES et al.

Appl. No. 09/458,297

Filed: December 10, 1999

For: Induc

Inducing Cellular Immune Responses To p53 Using Peptide

and Nucleic Acid Compositions

Confirmation No. 8696

Art Unit: 1644

Examiner: Schwadron, R.B.

Atty. Docket:

2060.0120000/EKS/HCC/M-M

Second Supplemental Information Disclosure Statement

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. A copy of each document is also provided.

In accordance with 37 C.F.R. § 1.98(a)(3), Applicants' undersigned representative submits the following, in regards to non-English language documents AM1, AP1, and AM2 cited on Form PTO 1449:

Document AM1, EP 0 226 513, is in the French language. It is believed that AM1 discloses HIV peptide derivatives. An English language abstract of document AM1 is attached as Document AS25;

Document AP1, WO 92/21033, is in the German language. It is believed that AP1 discloses peptides which bind MHC class I and class II molecules. An English language

abstract of document AP1 is located on page one of the document and another English language abstract is attached as Document AT25;

Document AM2, WO 94/11738, is in the German language. It is believed that AM2 discloses peptides which bind MHC class I and class II molecules. An English language abstract of document AM2 is located on page one of the document and another English language abstract is attached as Document AR26.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Second Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required. It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

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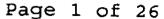
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Registration No. 47,473

Date: 8/15/03

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

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	AL1	EP 0	044 710 A1	01/27/1982	Europe			Ye N
	AM1	EP 0	226 513 A1	06/24/1987	Europe			У е Х М
	AN1	WO 9	02/02543 A1	02/20/1992	WIPO			Y∈ N
	A01	WO 9	2/12996 A2	08/06/1992	WIPO			Ye N
	AP1	WO 9	2/21033 A1	11/26/1992	WIPO			Ye X N
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	AR	1	priming wit	, et al., "A h a free syn Press (1990)	ntiviral cytotoxic T cell thetic peptide," <i>J. Exp. M</i>	response i ed. 171:18	nduced by 15-1820,	in vivo Rockefeller
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	AM2 WO 94/11738 A1		05/26/1994	WIPO			Ye X N	
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	AR	2	Supermotif: Patients w	s Predict Bro ith Acute Hep	Tuman Histocompatibility adly Cross-reactive Cyto atitis," <i>J. Clin. Invest</i> evestigation, Inc. (1997)	toxic T Lymp :. 100:503-51	hocyte Re	sponses in
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	Corr, M., et al., "Endogenous Peptides of a Soluble Major Histocompatibility Complex Class I Molecule, H-2Lds: Sequence Motif, Quantitative Binding, and Molecular Modeling of the Complex," J. Exp. Med. 176:1681-1692, Rockefeller University Press (December 1992)							ng, and		
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	Del Val, M., et al., "Efficient Processing of an Antigenic Sequence for Presentation by MHC Class I Molecules Depends on Its Neighboring Residues in the Protein," Cell 66:1145-1153, Cell Press (1991)							for idues in the		
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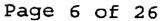
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	АТ	<u>6</u>		Engelhard, V.H., "Structure of peptides associated with MHC Class I molecules," Curr. Opin. Immunol. 6:13-23, Current Biology, Ltd. (February 1994)						
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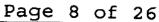
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	AS	7	Falk, K molecule	., et al., "Mes," Immunoge	MHC peptide motif reg enetics 38:161-162, S	rister. Peptide mo pringer-Verlag (A	tifs of HLA pril 1993)	-B35 and -B37
	AT	<u>7</u>	Falk, K.	, et al., "A atl. Acad. So	allele-specific pepti ci. USA 90:12005-1200	de ligand motifs o 9, National Acader	of HLA-C mo	lecules," ecember 1993)
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	AS	<u>8</u>	Falk, K. Immunoge	k, K., et al., "Peptide motifs of HLA-Al, -All, -A31, and -A33 molecules," nunogenetics 40:238-241, Springer-Verlag (July 1994)						
	АТ	<u>8</u>	Foon, K., 49:1621-	A., "Biologi 1639, Americ	ical can A	Response Modifiers: T Association for Cancer	The New :	Immunotl ch (198	nerapy," (Cancer Res.
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	AS	9	Guo, HC., et al., "Different length peptides bind to HLA-Aw68 similar their ends but bulge out in the middle," <i>Nature 360</i> :364-366, Macmillan Publishers, Ltd. (November 1992)						
	AT	9	Second P	athway of An	al., "HLA-A2.1-Asso tigen Presentation, Advancement of Scie	" Science 255:	1264-126	Mutant	Cell Line: A
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	AR	Hill, A., et al., "Characterization of two Epstein-Barr virus epitopes restricted								
	AS	10	Molecule	Hunt, D.F., et al., "Characterization of Peptides Bound to the Class I MHC Molecule HLA-A2.1 by Mass Spectrometry," Science 255:1261-1263, American Association for the Advancement of Science (March 1992)						
	AT	10	Develop	Ishioka, G.Y., et al., "Utilization of MHC Class I Transgenic Mice for Development of Minigene DNA Vaccines Encoding Multiple HLA-Restricted CTL Epitopes," J. Immunol. 162:3915-3925, The American Association of Immunologists (1999)						
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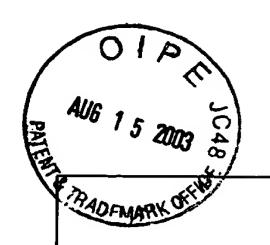
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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 2060.0120000	APPLICATION NO. 09/458,297
APPLICANT Fikes et al.	

FILING DATE GROUP
December 10, 1999 1644

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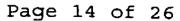
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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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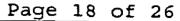
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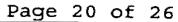
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	AS	<u>19</u>	MHC cla	Rötzschke, O., and Falk, K., "Origin, structure and motifs of naturally process MHC class II ligands," <i>Curr. Opin. Immunol.</i> 6:45-51, Current Biology, Ltd. (February 1994)							
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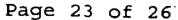
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communication to Applicant.

FORM PTO-1449

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

	APPLICATION NO. 09/458,297
APPLICANT Fikes et al.	

FILING DATE **GROUP** December 10, 1999 1644 U.S. PATENT DOCUMENTS EXAMINER INITIAL DOCUMENT DATE NAME CLASS SUB-FILING DATE NUMBER CLASS AAAB AC AD ΑE AF AG AHΑI ΑJ ΑK FOREIGN PATENT DOCUMENTS EXAMINER INITIAL DOCUMENT DATE COUNTRY CLASS SUB-TRANSLATION NUMBER CLASS Yes ALNo Yes AMNo Yes ANNo Yes ΑO No Yes AP No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Dialog File 351, Accession No. 9888606, Derwent WPI English language abstract for 26 AR WO 94/11738. AS ATEXAMINER DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw

line through citation if not in conformance and not considered. Include copy of this form with next



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

FIKES et al.

Appl. No. 09/458,297

Filed: December 10, 1999

For: Inducing Cellular Immune

Responses To p53 Using Peptide and Nucleic Acid Compositions

Confirmation No. 8696

Art Unit: 1644

Examiner: Schwadron, R.B.

Atty. Docket:

2060.0120000/EKS/HCC/M-M

Third Supplemental Information Disclosure Statement

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this Third Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Second Supplemental Information Disclosure Statement filed herewith in connection with the above-captioned application. A copy of each document is also provided.

The Examiner's attention is directed to the following co-pending U.S. patent applications:

U.S. Application No. 08/205,713, filed March 4, 1994;

U.S. Application No. 08/344,824, filed November 23, 1994;

U.S. Application No. 08/347,610, filed December 1, 1994;

U.S. Application No. 08/591,502, filed May 20, 1996;

- U.S. Application No. 09/017,524, filed February 3, 1998;
- U.S. Application No. 09/665,510, filed September 19, 2000;
- U.S. Application No. 10/149,140, § 371 date November 18, 2002;

which are directed to related technical subject matter. The identification of these U.S. patent applications is not to be construed as a waiver of secrecy as to these applications now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited applications and the art cited therein during examination.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Third Supplemental Information Disclosure Statement is being filed before the

mailing date of a first Office Action on the merits. No statement or fee is required.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Welendlarbon

Helene C. Carlson

Agent for Applicants

Registration No. 47,473

Date:

8/15/03

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

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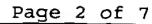
Page 1 of 7

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THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 2060.0120000	APPLICATION NO. 09/458,297
APPLICANT Fikes et al.	
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	AS	26	Boon, T., "To Perspectives Liss, Inc. (N	for Specific	Recognized by Cytolyti Immunotherapy," Int. 3	c T Lymp J. Cancer	phocytes: Pr r 54:177-180	esent , Wiley-
	AT	<u>26</u>	Harlow, E., of for Human Cell Society for M	llular Tumor	cular Cloning and In Vi Antigen p53," <i>Mol. Cell</i> (1985).	tro Expi	ression of a 5:1601-1610	cDNA Clone , American
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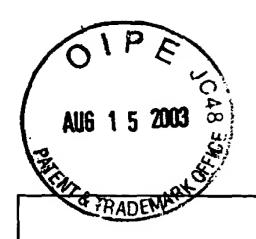
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ATTY. DOCKET NO. 2060.0120000	APPLICATION NO. 09/458,297
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THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 2060.0120000	APPLICATION NO. 09/458,297
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THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

	ATTY. DOCKET NO. 2060.0120000	APPLICATION NO. 09/458,297
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THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 2060.0120000	APPLICATION NO. 09/458,297
APPLICANT Fikes et al.	
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	AS	<u>31</u>			ending U.S. a (NOT PUBLISH	application 08/ MED).	591,502,	invento:	rs Chisari e	∍t al., filed
	АТ	<u>31</u>			ending U.S. a 1998 (NOT PUB	application 09/ BLISHED).	017,524,	invento:	rs Kubo et a	al., filed
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FORM PTO-1449

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 2060.0120000	APPLICATION NO. 09/458,297
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	AS	32	Copy of co-pe date November	ending U.S. : 18, 2002 (application 10/149,1 PUBLISHED AS WO 01/4	40, invento 1788 Al, Sec	s Fikes et Document A	al., § 371 (O2).
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